



John Elias Baldacci
GOVERNOR

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL
AND FINANCIAL REGULATION
BUREAU OF INSURANCE
34 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0034

ALESSANDRO A. IUPPA
SUPERINTENDENT

RISK RETENTION GROUP

Registration Requirements Pursuant to Title 24-A M.R.S.A. 6095

Risk retention groups not chartered in this State are required to register with the Superintendent of Insurance. The following materials must be submitted to fulfill the registration requirements:

1. A completed Maine specific Risk Retention Group Registration form
2. Designation as Agent for Service of Process Form
3. A certified copy of Certificate of Compliance for most recent year.
4. A statement identifying the Group's principal place of business.
5. A statement identifying the group's date of chartering and organization
6. A plan of operation or feasibility study, including revisions if any, or basis for exemption from filing
7. Financial statement submitted to state in which chartered and licensed
8. A copy of the most recent report of examination certified by the insurance superintendent or public official conducting the examination. State if no examination has been performed.
9. A copy of any audit performed.

Provide **only** the required information and address inquiries to Tracy Cunningham, Secretary, Financial Analysis, Maine Bureau of Insurance, 34 State House Station, Augusta, ME 04333-0034.

No risk retention group shall do business in Maine prior to receiving notice of having fulfilled the registration requirements.



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OFFICES LOCATED AT 124 NORTHERN AVENUE, GARDINER, MAINE 04345

Phone: (207) 624-8475 (Office)

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Fax: (207)